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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/606,730	06/29/2000	Paul C. Wilson	07072-105001	6692

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EXAMINER

PUENTE, EMERSON C

ART UNIT	PAPER NUMBER
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2184

DATE MAILED: 08/15/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/606,730

Applicant(s)

WILSON ET AL

Examiner

Emerson C Puente

Art Unit

2184

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 February 2003.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☐ Claim(s) _____ is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 8 and 26 is/are allowed.
- 6) ☒ Claim(s) 2-7, 9, 11-15, 18, 19, 22, 23, 27, 29-33, 36 and 37 is/are rejected.
- 7) ☒ Claim(s) 10, 16, 17, 20, 21, 24, 25, 28 and 32-39 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 June 2000 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 14, 15, 16. 6) ☐ Other: _____

DETAILED ACTION

1. Claim 1 was canceled. Claims 2-39 have been examined.
2. This action is made **FINAL**.

Drawings

3. This application, filed under former 37 CFR 1.60, lacks formal drawings. The informal drawings filed in this application are acceptable for examination purposes. When the application is allowed, applicant will be required to submit new formal drawings. In unusual circumstances, the formal drawings from the abandoned parent application may be transferred by the grant of a petition under 37 CFR 1.182.

Claim Objections

4. Claims 32-39 are objected to because of the following informalities:

Claims 32-39 are identical to claim 14-21, respectively. Please cancel claims 32-39.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 2-3 are rejected under 35 U.S.C. § 102(b) as being clearly anticipated by US Patent No. 5,819,054 of Ninomiya et al. referred hereinafter "Ninomiya".

Art Unit: 2184

In regards to claim 2, Ninomiya discloses a data storage system for transferring data between a host computer/server and a bank of disk drives through a system interface, such system interface comprising:

a plurality of first directors coupled to the host computer/server (see figure 20 item 201 and column 1 lines 26-46);

a plurality of second directors coupled to the bank of disk drives (see figure 20 item 202 and column 1 lines 26-46);

a cache memory (see figure 20 item 203 and column 1 lines 26-46).

wherein there are separate point-to-point data paths between each one of the directors and the cache memory (see figure 20 items 207, 208 and column 1 lines 26-46).

including a backplane and wherein the cache memory and directors are interconnected through the backplane (see figure 8 and column 8 lines 29-30).

In regards to claim 3, Ninomiya discloses a system wherein the backplane is a printed circuit board (see figure 8 and column 8 lines 29-30).

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. § 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 2-7,9,11-15,18,19,22,23,27, 29-33, and 36-37 are rejected under 35 U.S.C. § 103(a) as being unpatentable over US Patent No. 5,214,768 of Martin in view of Ninomiya.

Art Unit: 2184

In regards to claims 2, 4, and 5, Martin discloses a data storage system for transferring data between a host computer/server and a bank of disk drives through a system interface, such system interface comprising:

- a plurality of first directors coupled to the host computer/server (see figure 1 items 14,16,18,19);

- a plurality of second directors coupled to the bank of disk drives (see figure 1 item 48).

- a message network coupled to the plurality of directors and the plurality of second directors(see figure 1 item 40 and column 7 lines 35-62), such first and second directors controlling data transfer between the host computer and the bank of disk drives in response to messages passing between the directors through the messaging network as such data passes through the memory via the data transfer section (see column 7 lines 63-68 and column 8 lines 1-18).

- a data transfer section coupled to the plurality of first directors and the second directors (see figure 1 item 42).

However, Martin fails to disclose a system interface comprising:

- a cache memory ;

- a data transfer section coupled to the cache memory;

- wherein there are separate point-to-point data paths between each one of the directors and the global cache memory.

Ninomiya discloses a system interface comprising:

- a cache memory (see figure 20 item 203 and column 1 lines 26-46).

- a data transfer section coupled to the plurality of first directors, second directors, and cache memory (column 1 lines 26-46)

- wherein there are separate point-to-point data paths between each one of the directors and the global cache memory (see figure 20 items 207, 208 and column 1 lines 26-46).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teaching of Martin such that the system interface comprises of a cache memory. A person of ordinary skill in the art would have been

Art Unit: 2184

motivated to make the modification to Martin because Martin discloses a data storage retrieval system and having a cache memory, as per teachings of Ninomiya, would provide faster reading and writing of data.

Furthermore, Ninomiya discloses a system including a backplane and wherein the cache memory and directors are interconnected through the backplane (see figure 8 and column 8 lines 29-30).

In regards to claim 3 and 6, Ninomiya discloses a system wherein the backplane is a printed circuit board (see figure 8 and lines 29-30).

In regards to claim 7, Martin discloses a system wherein the messaging network comprises a switch network having a plurality of ports, each one of the ports being coupled to a corresponding one of the plurality of first and second directors. Martin discloses a control subsystem and switch subsystem (see figure 1 items 40, 42), which both could constitute as the messaging network, indicating a switch network having plurality of ports, each of the ports being coupled to a corresponding one of the plurality of first and second directors.

In regards to claim 9, Martin discloses a system interface comprising:

a plurality of first directors (see figure 1 item 14, 16, 18, and 19);

a plurality of second directors (see figure 1 item 48);

a messaging network(see figure 1 item 40), operative independently of the data transfer section(see figure 1 item 42), coupled to the plurality of first and second directors.

wherein the first and second directors control data transfer between the first directors and the second directors in response to messages passing between the first directors and the second directors through the messaging network with such messages by-passing the data transfer section (see column 5 line 63-67 and 7 lines 63-68)

However Martin fails to disclose:

such data transfer comprising passing data through the directors to the cache memory in the data transfer section

Art Unit: 2184

a data transfer section having a cache memory, such cache memory being coupled to the plurality of first and second directors;

and wherein there are separate point-to-point data paths between each one of the directors and the cache memory.

Ninomiya discloses:

such data transfer comprising passing data through the directors to the cache memory in the data transfer section (see figure 20 item 203 and column 1 lines 26-46);

a data transfer section having a cache memory, such cache memory being coupled to the plurality of first and second directors (see figure 20 item 203 and column 1 lines 26-46);

and wherein there are separate point-to-point data paths between each one of the directors and the cache memory (see figure 20 item 207,208 and column 1 lines 26-46) .

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teaching of Martin such that the system interface comprises of a cache memory. A person of ordinary skill in the art would have been motivated to make the modification to Martin because Martin discloses a data storage retrieval system and having a cache memory, as per teachings of Ninomiya, would provide faster reading and writing of data. Furthermore, Martin discloses a data storage retrieval system wherein input data is put into memory buffers (see column 14 lines 15-16) and cache memory, as per teachings of Ninomiya, constitutes as a memory buffer.

In regards to claims 11-13, Martin discloses a system interface comprising:

a plurality of first directors (see figure 1 item 14, 16, 18, and 19);

a plurality of second directors (see figure 1 item 48);

a messaging network comprises a switch network having a plurality of ports, each one of the ports being coupled to a corresponding one of the plurality of first and second directors coupled to a corresponding one of the plurality of first and second directors (see figure 1 item 40,42);

Art Unit: 2184

wherein the first and second directors control data transfer between the first directors and the second directors in response to messages passing between the first directors and the second directors through the messaging network with such messages by-passing the data transfer section (see column 5 line 63-67 and column 7 lines 63-68)

However Martin fails to disclose:

such data transfer comprising passing data through the directors to the cache memory in the data transfer section

a data transfer section having a cache memory, such cache memory being coupled to the plurality of first and second directors;

and wherein there are separate point-to-point data paths between each one of the directors and the cache memory.

Ninomiya discloses:

such data transfer comprising passing data through the directors to the cache memory in the data transfer section (see figure 20 item 203 and column 1 lines 26-46);

a data transfer section having a cache memory, such cache memory being coupled to the plurality of first and second directors (see figure 20 item 203 and column 1 lines 26-46);

and wherein there are separate point-to-point data paths between each one of the directors and the cache memory (see figure 20 item 207,208 and column 1 lines 26-46) .

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teaching of Martin such that the system interface comprises of a cache memory. A person of ordinary skill in the art would have been motivated to make the modification to Martin because Martin discloses a data storage retrieval system and having a cache memory, as per teachings of Ninomiya, would provide faster reading and writing of data. Furthermore, Martin discloses a data storage retrieval system wherein input data is put into memory buffers (see column 14 lines 15-16) and cache memory, as per teachings of Ninomiya, constitutes as a memory buffer.

Claims 14 and 32 are rejected under same rationale as claim 9

In regards to claims 15 and 33, Martin discloses wherein each one of the directors includes:

a data pipe coupled between an input of such one of the directors and the cache memory (see figure 3 item 138,140,142, and 144 and column 10 lines 46-62);

a controller for transferring the messages between the message network and such one of the directors (see column 6 lines 7-11)

Claims 18 and 36 are rejected under same rationale as claim 9

Claims 19 and 37 are rejected under same rationale as claim 15

Claim 22 is rejected under same rationale as claim 9

Claim 23 is rejected under same rationale as claim 15

Claim 27 is rejected under same rationale as claim 9.

Claims 29-31 are rejected under same rationale as claim 13.

Response to Amendment

9. Applicant's arguments filed February 4, 2003 have been fully considered but they are not deemed to be persuasive.

10. In response to applicant's argument on page 14 bottom paragraph that states Ninomiya fails to disclose "separate point-to-point data paths between each one of the directors and the cache memory", examiner respectfully disagrees. Ninomiya discloses a separate point-to-point data path as disclosed in figure 20 and column 1 lines 26-45).

In regards to applicant's on argument on page 15 top paragraph regarding claim 4 that states that Martin fails to disclose "messaging passing between the directors through the messaging network as such data passes through the memory via the data transfer section", examiner respectfully disagrees. Martin discloses requesting

Art Unit: 2184

resources from the control subsystem or messaging network (see column 5 lines 60-65), indicating messaging passing between the directors through the messaging network and further discloses a switch subsystem or data transfer section comprising of a data interface module (DIM) used for data transfer comprising buffer memory (see column 13 lines 40-60), indicating such data passes through the memory via the data transfer section.

Allowable Subject Matter

11. Claim 10,16,17,20,21,24,25, and 28 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

12. Claims 8 and 26 are allowable.

Examiner's Statement of Reason for Allowance

13. Claims 1-8 are allowable over the prior art of records.

14. The following is an Examiner's statement of reasons for the indication of allowable subject matter: Claims 8 and 26 are allowable over the prior art of record because the Examiner found neither prior art cited in its entirety, nor based on the prior art, found any motivation to combine any of the said prior arts.

The reason for allowance for claims 8 and 26 is the inclusion of messaging network comprising a switch network having a plurality of ports, each one of the ports being coupled to a corresponding one of the plurality of directors, such network being operative independently of the data transfer section, in conjunction with the rest of the limitation set forth in the claim.

Art Unit: 2184

15. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

16. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Emerson Puente, whose telephone number is (703) 305-8012. The examiner can normally be reached on Monday-Friday from 8:00AM- 5:00PM, first Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, *Robert Beausoliel*, can be reached on (703) 305-9713 or via e-mail addressed to [*robert.beausoliel@uspto.gov*]. The fax number for the organization where this application or proceeding is assigned are (703) 746-7239 for regular communications and (703) 746-7238 for After Final communications.


Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [**merson.pu nt @uspto.gov**].

Art Unit: 2184

All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.

Any inquiry of a general nature or relating to the status of this application should be directed to the receptionist whose telephone number is (703) 305-3900.

Emerson Puente
8/13/03


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